

## PART B – FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to:

Mail      Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
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**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

4744 26201 7590      09/05/2007  
**ROSS SPENCER GARSSON**  
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**FISH & RICHARDSON P.C.**  
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**Minneapolis, MN 55440-1022**

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**  
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09722,950	11/27/2000	Richard E. Smalley	11321-P002D4 21753-002002	5035

### TITLE OF INVENTION: ROPES OF SINGLE-WALL CARBON NANOTUBES AND COMPOSITIONS THEREOF

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES NO	\$700 1440	\$0	\$700 1440	12/05/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
COLE, ELIZABETH M.	1771	442-320000

- |   |   |
|---|---|
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).<br><input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.<br><input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47: Rev 03-02 or more recent) attached. Use of a Customer Number is required. | 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. |
|---|---|

1. Fish & Richardson P.C. \_\_\_\_\_

2. Ross Spencer Garsson \_\_\_\_\_

3. \_\_\_\_\_

### 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

#### (A) NAME OF ASSIGNEE

Rice University

#### (B) RESIDENCE (CITY and STATE OR COUNTRY)

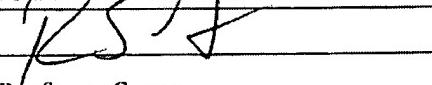
Houston, TX

Please check the appropriate assignee category or categories (will not be printed on the patent):  individual  corporation or other private group entity  government

- |  |  |
|--|--|
| 4a. The following fee(s) are enclosed:<br><input checked="" type="checkbox"/> Issue Fee<br><input type="checkbox"/> Publication Fee (No small entity discount permitted)<br><input type="checkbox"/> Advance Order - # of Copies _____ | 4b. Payment of Fee(s):<br><input type="checkbox"/> A check in the amount of the fee(s) is enclosed.<br><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.<br><input checked="" type="checkbox"/> The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number: 06-1050 (enclose an extra copy of this form). |
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|---|--|
| 5. Change in Entity Status (from status indicated above)<br><input type="checkbox"/> J.a. Applicant claims SMALL ENTITY status. See 37 CFR 1.2.7. | J.b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.2.7.(g)(2). |
|---|--|

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered agent or, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature) 	(Date) _____	November 30, 2007
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Typed or Printed Name <u>Ross Spencer Garsson</u>	Registration No. <u>38,150</u>	
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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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